



#3  
12/13/01

PATENT  
Attorney Docket No. 041465-5116

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
Nobuo HAINO et al. )  
)  
Application No.: 09/929,108 )  
)  
Filed: August 15, 2001 )  
)  
For: INFORMATION RECORDING )  
APPARATUS, INFORMATION )  
RECORDING METHOD AND )  
INFORMATION RECORDING MEDIUM )  
IN WHICH RECORDING CONTROLLING )  
PROGRAM IS RECORDED )

Art Unit: 2652

Examiner: To Be Assigned

Commissioner for Patents  
Washington, DC 20231

Sir:

RECEIVED  
NOV 16 2001  
Technology Center 2600

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the document listed on the attached PTO Form 1449.

To the best of the undersigned's knowledge, this Information Disclosure Statement is being filed within 3 months of filing, or before the mailing date of a first Office Action on the merits for the above-referenced application, and no fees are believed to be necessary.

A copy of the listed document is attached. Applicants respectfully request that the Examiner consider the listed document and evidence that consideration by making appropriate notations on the attached PTO Form 1449.

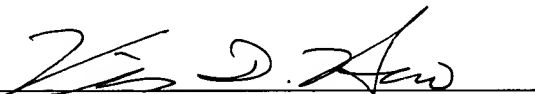
This submission does not represent that no better art exists and does not constitute an admission that the listed document is material or constitute "Prior Art." If it should be determined that the listed document does not constitute "Prior Art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such document. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-0310.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

By:



Victoria D. Hao

Registration No. 47,630

Dated: November 13, 2001

**CUSTOMER NO. 009629**  
**MORGAN, LEWIS & BOCKIUS LLP**  
1800 M Street, N.W.  
Washington, D.C. 20036  
(202) 467-7000

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Attorney Docket No. 041465-5116

Application No.: 09/929,108

Applicants: Nobuo HAINO et al.

PAGE 1 of 1

PTO Form 1449

Filing Date: August 15, 2001

Group Art Unit: 2652

**U.S. PATENT DOCUMENTS**

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	5,946,277	August 31, 1999	Kuroda et al.	369	32	January 22, 1998

RECEIVED  
NOV 16 2001  
Technology Center 2600

**FOREIGN PATENT DOCUMENTS**

Document Number	Date	Country	Class	Sub Class	<u>Translation</u> YES NO	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.